

## CORPORATE OFFICE

Level 1  
32 Oxford Terrace  
Christchurch Central  
**CHRISTCHURCH 8011**

Telephone: 0064 3 364 4160  
Fax: 0064 3 364 4165  
[Tracey.Maisey@cdhb.health.nz](mailto:Tracey.Maisey@cdhb.health.nz)

23 June 2021

9(2)(a)

### RE Official Information Act request CDHB 10603

I refer to your email dated 7 May 2021 requesting the following information under the Official Information Act from Canterbury DHB.

Staff employed by Canterbury DHB are expected to maintain appropriate professional standards and relationships with other services and providers at all times. This requires the identification and declaration of any actual or potential conflict of interest that may occur in their day to day business activities or contact.

Please see the Canterbury DHB policy on Conflict of Interest (**Appendix One** attached). This policy applies to all circumstances where individuals have received gifts in any form or nature. This entails staff to declare gifts and hospitality, and the Gift, Sponsorship, Donations and Corporate Hospitality Policy which is attached as **Appendix two**.

We are declining to provide information prior to December 2019 under section 18(f) of the Official Information Act as this information was not held on a central electronic register and would take a substantial amount of time and resource to provide.

- 1. Please provide the gift registers held by the DHB about gifts/contributions/received items given to DHB employees (including medical professionals) for the following years: 2020, 2019, 2018, 2017, 2016.**

Please find attached as **Appendix 3** the Register of Gifts. This information has been collected in our MAX electronic service portal since 19 December 2019. **Note** we have supplied the 'donor' in generic terms under section 9(2)(a) of the Official Information Act to protect the privacy of individuals and we are declining to provide the name of the recipient also under the same section of the Act, however we have provided the Department they are based in.

**2. Please provide records held regarding of any flights provided, paid for or subsidised by pharmaceutical or medical equipment companies to employees of the DHB (including medical professionals) in the following years: 2020, 2019, 2018, 2017, 2016.**

Please refer to **Appendix 4** (attached) for the Conflict of Interest register. As noted above this information has been collected in our MAX electronic service portal since 19 December 2019.

**Note** we have redacted information under section 9(2)(a) of the Official Information Act to protect the privacy of individuals.

We are declining to provide information prior to December 2019 under section 18(f) of the Official Information Act as this information was not held on a central electronic register and would take a substantial amount of time and resource to provide.

**2. Please provide any information the DHB holds regarding professional affiliations, board roles or advisory positions held by employees of the DHB (including medical professionals) in connection to pharmaceutical or medical equipment companies. If possible, break these affiliations down by the following years: 2020, 2019, 2018, 2017, 2016.**

Please find attached (**Appendix 5**) The Executive Management Team – Disclosure of Interest register.

I trust that this satisfies your interest in this matter.

You may, under section 28(3) of the Official Information Act, seek a review of our decision to withhold information by the Ombudsman. Information about how to make a complaint is available at [www.ombudsman.parliament.nz](http://www.ombudsman.parliament.nz); or Freephone 0800 802 602.

Please note that this response, or an edited version of this response, may be published on the Canterbury DHB website after your receipt of this response.

Yours sincerely

A handwritten signature in black ink, appearing to be 'Tracey Maisey', with a stylized, flowing script.

Tracey Maisey  
**Executive Director**  
**Planning, Funding & Decision Support**

## Conflict of Interest and Disclosure of Interest Policy

### Contents

Purpose .....	1
Policy.....	1
Scope.....	2
Definitions / Interpretation .....	2
Record Keeping and Monitoring.....	3
Measurement or Evaluation .....	4
Sanctions for Non-compliance.....	4
Privacy.....	4
Associated Documents .....	4
References .....	4

### Purpose

Canterbury DHB and West Coast DHB (referred to as **DHB, we or our**) are committed to providing a fair, ethical and accountable environment. Our primary concern for is for the safety and welfare of our patients, our people and the communities in which they live. This central principle of healthcare can, however, be compromised by Financial and Non-financial Interests that lead to Conflicts of Interest.

This policy provides guidance on:

- what constitutes a Conflict of Interest;
- recognising and disclosing Conflicts of Interest; and
- the process for notifying and managing Conflicts of Interest.

### Policy

Our people must conduct themselves with integrity, honesty and diligence in performing their duties for the DHB and avoid placing themselves in situations where their private Interests are, may be, or are perceived to conflict with the interests of the DHB.

Sometimes a Conflict of Interest is unavoidable, however we need to put practices in place to ensure that all conflicts are disclosed and managed, so we can protect the interests of our patients, our people and the business.

Our people have an on-going obligation to disclose and manage any Financial or Non-financial actual, perceived or potential Conflict of Interest, including any

**The latest version of this document is available on the DHB intranet/website only.  
Printed copies may not reflect the most recent updates.**

alternative employment, in a timely and effective manner via the max. disclosure process.

The Executive Management Team and all General Managers are required to disclose all non-DHB roles or Interests that they (or a Related Party) have regardless of whether it may conflict with their DHB role and responsibilities.

## Scope

This policy applies to:

- all DHB employees, including, temporary employees, contractors, independent consultants and visiting health professionals contracted to the DHB; and
- any person who is involved in the operation of the DHB, joint appointments with third parties, students, volunteers and those persons with honorary or unpaid employee status.

The reference to **our people** in this policy means all the above.

## Definitions / Interpretation

**Conflict of Interest** means:

- a Transaction in which an individual's private (or a Related Party's) Interests may affect their judgement in acting in the best interest of, and carrying out their responsibilities for, the DHB;
- where an individual (or a Related Party) uses their DHB role, confidential information, time, material or facilities for private gain or advancement or the expectation of private gain or advancement;
- when an individual (or a Related Party), is directly or indirectly affected by the outcome of a decision to be made or a Transaction entered by the DHB.

Conflicts can be actual, perceived or potential:

- *Actual conflict* is where the conflict already exists. A direct conflict between an individual's DHB duties and responsibilities and their private Interests.
- *Perceived conflict* exists where it could be, or appears to be, that an individual's private Interests could improperly influence the performance of their DHB duties and responsibilities.
- *Potential conflict* arises where an individual has private Interests that could in the future conflict with their DHB duties and responsibilities.

**Financial Interest** is an interest that has monetary or other material gain. Examples include:

- shareholding or board memberships;

The latest version of this document is available on the DHB intranet/website only.  
Printed copies may not reflect the most recent updates.

- paid employment, including consultancies, commissioned fee-paid work, speaker fees, fees provided in return for an expert opinion and performance bonuses tied to outcomes;
- fellowships, research, continuing education and education grants; or
- travel grants, conference expenses, donations, sponsorships, gifts and hospitality (refer to Gift, Donations, Sponsorships and Corporate Hospitality Policy).

**Interest** means something that brings advantage to, or affects, someone or something and can be Financial or Non-financial.

**Non-financial Interest** is an Interest that does not have a monetary component. It may arise from a personal relationship, or involvement with a non-profit organisation, or conduct, or beliefs that indicate prejudice or predetermination. It can include goals, enhancements of career and the possibility of acquiring professional recognition, status or fame.

**Related Party** means family members or other close business or personal relationships.

**Transaction** means:

- the exercise or performance of a function, duty or power;
- an arrangement, agreement or contract (may be Financial or Non-financial); or
- a proposal to enter into an arrangement, agreement or contract (may be Financial or Non-financial).

## Managing and Monitoring

### Managers

Managers will receive an electronic notification via email of all disclosures made by their team.

A Manager must:

1. review all disclosures;
2. ensure that the action proposed to manage each disclosure is appropriate and where necessary make any further comments; and
3. confirm their acknowledgement of the disclosures.

### Executive Management Team and General Managers

At regular intervals, each EMT member and General Manager will receive an electronic report of all the disclosures made by their team. They must review each disclosure to ensure that the appropriate management and monitoring of any potential, perceived or actual conflict is in place and that all disclosures have been acknowledged by the relevant Manager.

**The latest version of this document is available on the DHB intranet/website only.  
Printed copies may not reflect the most recent updates.**

## People and Capability

A central disclosures register will be automatically populated and will keep a record of all disclosures that are made. People and Capability will monitor the register to ensure continued operation of the process and oversee the provision of annual reports to General Managers, EMT and the Quality, Finance, Audit and Risk Committee where appropriate to ensure appropriate management and monitoring actions are still in place.

## Measurement or Evaluation

This policy will be measured by evidence supporting compliance with the policy and procedures, including any reports to management and the Quality, Finance, Audit and Risk Committee where appropriate.

## Sanctions for Non-compliance

Where our people fail to comply with this policy, including but not limited to, knowingly fail to disclose and/or manage a Conflict of Interest, or have acted to their own advantage, such non-compliance will be dealt with under the Disciplinary Policy and Code of Conduct.

## Privacy

It is acknowledged that disclosing Conflicts of Interest and other Interests under this policy may involve disclosing personal information. This information will be handled according to our Privacy Act obligations.

## Associated Documents

Informed Consent Policy

Sensitive Expenditure Policy

Ethics of Association Policy

Fraud Policy

Code of Conduct

Disciplinary Policy

Privacy Policy

Gift, Sponsorship, Donations and Corporate Hospitality Policy

Guidance Note #1- Disclosure, Managing and Monitoring

Guidance Note #2 – Conflict of Interest Examples and Guidance

## References

<http://www.ssc.govt.nz/integrityandconduct>

<http://www.oag.govt.nz/2007/conflicts-public-entities>

<http://www.ssc.govt.nz/code>

<http://www.ssc.govt.nz/code-guidance-stateservants>

**The latest version of this document is available on the DHB intranet/website only.  
Printed copies may not reflect the most recent updates.**

<http://www.ssc.govt.nz/code-resources-organisations>

<http://www.business.govt.nz/procurement/for-agencies/key-guidance-for-agencies/the-new-government-rules-of-sourcing>

<b>Policy Owner</b>	Chief People Officer
<b>Policy Authoriser</b>	Executive Management Team
<b>Date of Authorisation</b>	November 2019

**The latest version of this document is available on the DHB intranet/website only.  
Printed copies may not reflect the most recent updates.**

RELEASED UNDER THE OFFICIAL INFORMATION ACT



## Gift, Sponsorship, Donations and Corporate Hospitality Policy

### Contents

Purpose .....	1
Scope.....	1
Policy.....	2
Definitions / Interpretation .....	2
Managing and Monitoring .....	3
Measurement or Evaluation .....	3
Sanctions for Non-compliance.....	3
Privacy.....	3
Associated Documents .....	4

### Purpose

This policy defines Canterbury DHB and West Coast DHB (referred to as **DHB, we** or **our**) requirements when disclosing gifts, Sponsorship, donations and Corporate Hospitality from third parties.

From time to time, our people may receive gifts, offers of Sponsorship, donations, and Corporate Hospitality from many sources, including a current or potential Supplier, service clubs, trusts, patients, and estates. Offers, even of limited value, may be a concern if offered repeatedly and/or at times when they could be seen to influence or reinforce a decision or action.

### Scope

This policy applies to:

- all our employees, including, temporary employees, contractors, independent consultants and visiting health professionals contracted to the DHB; and
- any person who is involved in our operations, including, joint appointments with third parties, volunteers, students and those persons with honorary or unpaid staff status.

The reference to **our people** in this policy means all of the above.

**The latest version of this document is available on the DHB intranet only.  
Printed copies may not reflect the most recent updates.**

## Policy

Any gift, offer of Sponsorship, donation or Corporate Hospitality over \$100 must not be accepted unless there is a clear and justifiable reason to do so and acceptance does not, or is perceived not to, compromise the DHB in any way.

Any gift or offer over \$100 must be carefully reviewed and any legal, financial or probity risk to the DHB must be minimised before any gift or offer is accepted.

Our people:

- must not solicit gifts, offers or receive private benefits for themselves or a Related Party;
- must not accept gifts or offers for themselves or a Related Party from any third party who will, may or be perceived to benefit from such acceptance. The only exception to this is Koha in which case the Executive Director – Maori and Pacific Health should be consulted, and the Koha Policy be complied with;
- are required to complete and submit a Gift, Donation, Sponsorship and Corporate Hospitality form via max which will then be sent to their Manager to review and acknowledge before any gift, offer, donation, Sponsorship or Corporate Hospitality over \$100 is accepted; and
- unless gift or offers are consumable at the time they should be regarded as property of the DHB. Where possible, it is recommended that gifts received are shared amongst the relevant team.

## Definitions / Interpretation

**Corporate Hospitality** is the process of entertaining guests, which can include, food, beverages, travel, accommodation or tickets to concerts, functions or charity events.

**Koha** is a gift, a token, offering, or a contribution given on appropriate occasions made in the context of Maori custom, without any obligation for that recipient to provide something in return.

**Related Party** means family members or other close business or personal relationships.

**Sponsorship** means any offer to provide, or fund, offset or otherwise subsidise events, goods and services (including training, education or research);

**Supplier** means any organisation or individual who provides or wishes to provide, goods or services to the DHB.

The latest version of this document is available on the DHB intranet only.  
Printed copies may not reflect the most recent updates.

## Managing and Monitoring

### Managers

Managers will receive an electronic notification of all relevant disclosures made by their team via max. The Manager must:

1. review the disclosure;
2. ensure that the action proposed to manage each disclosure is appropriate and where necessary make any further comments; and
3. confirm their acknowledgement of the disclosure.

### Executive Management Team and General Managers

At regular intervals, each EMT member and General Manager will receive an electronic report of all the relevant disclosures made by their team. They must review each disclosure, advise if there are any changes or further action required and ensure that all disclosures have been acknowledged by the relevant Manager.

### People and Capability

A disclosures register will be automatically populated and will keep a record of all disclosures that are made. People and Capability will monitor the register to ensure continued operation of the process and oversee the provision of reports to General Managers, EMT and the Quality, Finance, Audit and Risk Committee.

### Measurement or Evaluation

This policy will be measured by evidence supporting compliance with the policy and procedures, including any reports to management and the Quality, Finance, Audit and Risk Committee where appropriate.

### Sanctions for Non-compliance

Where our people fail to comply with this policy, such non-compliance will be dealt with under the Disciplinary Policy and Code of Conduct.

### Privacy

It is acknowledged that the disclosure of gifts, donations, Sponsorships and Corporate Hospitality under this policy may involve disclosing personal information. This information will be handled according to our Privacy Act obligations.

**The latest version of this document is available on the DHB intranet only.  
Printed copies may not reflect the most recent updates.**

## Associated Documents

[Privacy Policy](#)

[Conflict of Interest and Disclosure of Interest Policy](#)

[Guidance Note – What is Disclosure and How to Manage the Gift/Offer](#)

[Koha Policy](#)

<b>Policy Owner</b>	Chief People Officer
<b>Policy Authoriser</b>	Executive Management Team
<b>Date of Authorisation</b>	November 2019

**The latest version of this document is available on the DHB intranet only.  
Printed copies may not reflect the most recent updates.**

RELEASED UNDER THE OFFICIAL INFORMATION ACT

Date	Description(description)
15/09/2020	<p><b>Department: Oncology Nurse Specialist</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> \$500.00 to be used by Oncology Service from the family of a patient.</p> <p><b>Value   Estimated Value:</b> \$500.00</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
22/10/2020	<p><b>Department: Oral Health</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Kanuka Cafe Vouchers (10 x \$20) from a private individual.</p> <p><b>Value   Estimated Value:</b> \$200</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
07/09/2020	<p><b>Department: General Surgery Nursing Clerical</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> \$100.00 gift voucher from a private individual.</p> <p><b>Value   Estimated Value:</b> \$100.00</p> <p><b>What do you propose to do with the gift   offer?</b> Accept it</p>
02/10/2019	<p><b>Department: NACA (New Aseptic and Cytotoxic Area) Pharmacy Dept</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Roche award for education - not entitled until completion of Graduate Certificate in Cancer Sciences mid 2021 (delayed start)</p> <p><b>Value   Estimated Value:</b> \$2000</p> <p><b>What do you propose to do with the gift   offer?</b> Accept it</p>
28/10/2020	<p><b>Department: AT&amp;R Inpatient Service</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> \$500 from a private individual.</p> <p><b>Value   Estimated Value:</b> \$500</p> <p><b>What do you propose to do with the gift   offer?</b> Accept it</p>

16/10/2020	<p><b>Department: Christchurch Campus</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> I realise you do not have to declare an activity where the value is less than \$100 per individual. However, I'm noting that myself and 5 others on the campus attended a security function where a \$30 meal was supplied.</p> <p><b>Value   Estimated Value:</b> \$30 per person x 5 people</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
20/01/2020	<p><b>Department: Neurology Medical</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Healthcare Company sponsorship to attend a two-day Multiple Sclerosis nurse preceptorship at a Hospital in Melbourne. Sponsorship includes return flights to Melbourne and two nights' accommodation.</p> <p><b>Value   Estimated Value:</b> \$1000.00</p> <p><b>What do you propose to do with the gift   offer?</b> Accept it</p>
17/06/2020	<p><b>Department: Team Leaders</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Course sponsorship (Flights, meals and accommodation) from a Healthcare Company.</p> <p><b>Value   Estimated Value:</b> \$600</p> <p><b>What do you propose to do with the gift   offer?</b> Accept it</p>
11/03/2020	<p><b>Department: Neurology Technical Clerical</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Travel to wellington and return, 1-night hotel stay, dinner, nurse conference, from a pharmaceutical company.</p> <p><b>Value   Estimated Value:</b> \$600</p> <p><b>What do you propose to do with the gift   offer?</b> Accept it</p>

21/08/2020	<p><b>Department: Maori &amp; Pacific Health</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Gift voucher for Cafe</p> <p><b>Value   Estimated Value:</b> \$50.00</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
17/06/2020	<p><b>Department: Team Leaders</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Accommodation/Flights/Meals for Senior Anaesthetic Technician Meeting run by Healthcare Company.</p> <p><b>Value   Estimated Value:</b> \$600</p> <p><b>What do you propose to do with the gift   offer?</b> Accept it</p>
26/02/2020	<p><b>Department: ISG Service Desk</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Tickets to Super Rugby Match on 6/3 in Printing Company corporate box.</p> <p><b>Value   Estimated Value:</b> \$120</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
08/01/2020	<p><b>Department: CAF South</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Voucher from local retail outlet</p> <p><b>Value   Estimated Value:</b> \$300</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
15/12/2019	<p><b>Department: Facilities Development</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Picture, picnic blanket, box of cherries</p> <p><b>Value   Estimated Value:</b> \$100</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>



6/11/2020	<p><b>Department: Specialty Services C Ward</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> 20 x \$5 cafe vouchers for staff (\$100), 3 x bags of craft materials.</p> <p><b>Value   Estimated Value:</b> \$150-\$200</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
22/07/2020	<p><b>Department: Mental Health Service (MH)</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Gift card</p> <p><b>Value   Estimated Value:</b> \$100</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
14/05/2020	<p><b>Department: Mental Health Planning &amp; Funding</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Box of products - honey, leaf tea, pesto, chocolate etc</p> <p><b>Value   Estimated Value:</b> unsure but think it may be under \$100</p> <p><b>What do you propose to do with the gift   offer:</b> Accept it</p>
18/05/2020	<p><b>Department: Adult Service North/West</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> \$50 petrol voucher</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
20/01/2020	<p><b>Department: Neurology/Neurosurgery/Ophthalmology (MSOSVS)</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> A bottle of wine and scented candle</p> <p><b>Value   Estimated Value:</b> \$50</p> <p><b>What do you propose to do with the gift   offer?</b> Accept it</p>

20/01/2020	<p><b>Department: Older Persons Health &amp; Rehab (OP)</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> I am requesting permission to attend the NZ Primary Healthcare awards in AKL on the 29th Feb. I have been invited by a provider of primary health care services and they are paying for flights and accommodation, - I will attend the function at their table.</p> <p><b>Value   Estimated Value:</b> \$500</p> <p><b>What do you propose to do with the gift   offer?:</b> Accept it</p>
26/03/2020	<p><b>Department: Mothers &amp; Babies/Eating Disorder Outpatients Teams</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Donation of \$1,100 sent to Maia Foundation, for use by South Island Eating Disorders Service (SIEDS) from a private individual.</p> <p><b>Value   Estimated Value:</b> \$1,100</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   services.</p>
20/12/2020	<p><b>Department: Nurse Consultant - MH</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> 2x pieces of original art from a private individual.</p> <p><b>Value   Estimated Value:</b> 500.00</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
18/03/2021	<p><b>Department: Clinical Supervision</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> \$150 in recognition of time and expertise that goes into preparing and presenting a webinar on medicines used in constipation to dieticians as members of NZ gastroenterology special interest group</p> <p><b>Value   Estimated Value:</b> \$150</p> <p><b>What do you propose to do with the gift   offer?</b> Accept it</p>

7/12/2020	<p><b>Department: Neurology Technical Clerical</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Given a Gift Card as a thank you for my work on the Regions-Care National Stroke Audit/Research Project</p> <p><b>Value   Estimated Value:</b> \$100.00</p> <p><b>What do you propose to do with the gift   offer?</b> Accept it</p>
26/01/2021	<p><b>Department: Oncology Nurse Specialist</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> \$288.90 from family of patient.</p> <p><b>Value   Estimated Value:</b> \$288.90</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
26/03/2021	<p><b>Department: Clinical Supervision</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Conference registration (Current Trends in Immuno-Oncology), return flights to conference in Auckland and accommodation for night of conference in Auckland.</p> <p><b>Value   Estimated Value:</b> (unsure - flights and accommodation booked by pharmaceuticals company (not reimbursed).</p> <p><b>What do you propose to do with the gift   offer?:</b> Accept it</p>
22/05/2021	<p><b>Department: CAF Specialist Teams</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Cheque of \$200 as koha for Muslim Community Presentation -which I would like to use for books/resources</p> <p><b>Value   Estimated Value:</b> \$200</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
22/04/2021	<p><b>Department: Ward HG</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> I have been invited to attend a Primary health Awards event. This a networking and education/sharing of knowledge opportunity.</p> <p><b>Value   Estimated Value:</b> Unsure.</p> <p><b>What do you propose to do with the gift   offer?:</b> Accept it</p>

2/03/2021	<p><b>Department: General Medicine</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Food and kitchenware gift basket</p> <p><b>Value   Estimated Value:</b> \$150</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
1/12/2020	<p><b>Department: Ward 24</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> Gift card</p> <p><b>Value   Estimated Value:</b> \$100</p> <p><b>What do you propose to do with the gift   offer?</b> Share it with my team   service</p>
22/12/2020	<p><b>Department: Specialty Services C Ward (Mothers &amp; Babies Mental Health Service – inpatients)</b></p> <p><b>What are you disclosing?</b> Gift, Donation, Sponsorship or Hospitality</p> <p><b>Description of Offer   Gift:</b> \$800 voucher to 'baby products shop' from a patient.</p> <p><b>Value   Estimated Value:</b> \$800</p> <p><b>What do you propose to do with the gift   offer?</b> Accept it</p>

RELEASED UNDER THE OFFICIAL INFORMATION ACT

Opened	Department	Description(description)
06/01/2020	Clinical Supervision (MSOMPHCS)	<p><b>Department: Clinical Supervision (MSOMPHCS)</b></p> <p><b>What are you disclosing?</b> Conflict of Interest</p> <p><b>Description of Interest:</b> Chairperson of the Pharmacists and Therapeutics Committee with the Paediatric Society of NZ. In this role I am responsible for co-ordinating submissions to Government bodies e.g. Pharmac, Medsafe on paediatric issues.</p> <p><b>Financial Interest:</b> No</p> <p><b>How do you propose to manage the conflict?</b> Ensure the submissions are transparent as to who they are representing. Any submissions from Canterbury DHB are separate to those from the Paediatric Society. Where opinions from Canterbury DHB Paediatricians are sought, these are clearly stated in the submissions.</p>
04/05/2020	Medical Oncology (MSONMO)	<p><b>Department: Medical Oncology (MSONMO)</b></p> <p><b>What are you disclosing?</b> Alternative Employment</p> <p><b>Description of Employment:</b> Pharmac Consultation</p> <p><b>Name of Employer:</b> Pharmac</p> <p><b>FTE   Hours Per Week:</b> 0.5-1</p> <p><b>How do you propose to manage the conflict?</b> Worked on during out of Office Hours - e.g. weekends and nights. Moves in ebbs and flows in preparation for meetings.</p>
22/03/2021	Clinical Supervision (MSOMPHCS)	<p><b>Department: Clinical Supervision (MSOMPHCS)</b></p> <p><b>What are you disclosing?</b> Conflict of Interest</p> <p><b>Description of Interest:</b> I have been asked to present as part of a webinar with my focus to be on medications used in constipation. This is to dieticians as part of the gastroenterology special interest group of dieticians NZ. I am declaring as there is a financial gift associated with undertaking this presentation as recognition of my time and expertise. I am not requesting any time/leave from my role at the DHB and the presentation will be in my personal time.</p> <p><b>Financial Interest:</b> Yes</p> <p><b>How do you propose to manage the conflict?</b> This is a generic presentation which will not contain any patient information or information specific to protocols/guidelines at Canterbury DHB. The management and hence the presentation will be generic and based on my experience as a pharmacist. I have completed the required disclosure on MAX for the financial payment.</p>

06/01/2020	Clinical Supervision (MSOMPHCS)	<p><b>Department: Clinical Supervision (MSOMPHCS)</b></p> <p><b>What are you disclosing?</b> Conflict of Interest</p> <p><b>Description of Interest:</b> Annually I am an examiner for the Pharmaceutical Council at the Intern assessment centre in Wellington, in November</p> <p><b>Financial Interest:</b> Yes</p> <p><b>How do you propose to manage the conflict?</b> I elect NOT to examine Pharmacy Interns from our DHB, nor Interns that I know personally.</p>
06/01/2020	Clinical Supervision (MSOMPHCS)	<p><b>Department: Clinical Supervision (MSOMPHCS)</b></p> <p><b>What are you disclosing?</b> Conflict of Interest</p> <p><b>Description of Interest:</b> Member of the Paediatric Society of NZ and the Paediatric Pharmacists Special Interest Group</p> <p><b>Financial Interest:</b> No</p> <p><b>How do you propose to manage the conflict?</b> I self-fund membership and attendance of Telepaed special interest group meetings contributes to my continuing education.</p> <p><b>Description of Interest:</b> Nil</p> <p><b>Financial Interest:</b> No</p> <p><b>Relationship of Related Party [includes family members or other close business or personal relationships]:</b> Nil</p> <p><b>How do you propose to manage the conflict?</b> N/A</p>
06/01/2020	Clinical Supervision (MSOMPHCS)	<p><b>Department: Clinical Supervision (MSOMPHCS)</b></p> <p><b>What are you disclosing?</b> Alternative Employment</p> <p><b>Description of Employment:</b> I am employed to act as a pharmacist assessor for the pharmacy intern final assessment in Wellington in November each year.</p> <p><b>Name of Employer:</b> Pharmaceutical Society of New Zealand FTE   Hours Per Week: 1 Day per year</p> <p><b>How do you propose to manage the conflict?</b> I will not discuss the content of these scenarios or the performance of any of the interns with any of my colleagues.</p>

30/01/2020	Planning and Funding	<p><b>Department: Planning and Funding</b></p> <p><b>What are you disclosing?</b> Conflict of Interest</p> <p><b>Description of Interest:</b></p> <ul style="list-style-type: none"> <li>• 0.2FTE (+weekend roster) pharmacist at Pharmacy.</li> <li>• Accredited for Medicine Therapy Assessment</li> <li>• University of Otago School of Pharmacy Postgraduate Programmes External Advisory Group, Chair (currently in abeyance)</li> <li>• Volunteer: Medical Aid Abroad, Christchurch Branch</li> <li>• Member: Pharmaceutical Society of NZ, NZ Hospital Pharmacy Association</li> <li>• Beyond Dispensing: Consulting – Projects – Locums – Education (own business mostly in abeyance now I am employed total of 1FTE)</li> <li>• Competence Reviewer (ad hoc) for Pharmacy Council of New Zealand</li> <li>• Marker for Pharmaceutical Society of NZ Intern Assignment 3</li> <li>• Data Monitoring Committee for “Deprescribing as an intervention to Polypharmacy: a Randomised Controlled Trial in older community people (deprescribing RCT)” HRC Reference 17/363</li> </ul> <p><b>Financial Interest:</b> Yes</p> <p><b>How do you propose to manage the conflict?</b> Full disclosure, particularly in governance or strategic meetings. Seek guidance from Executive Director if I am approached for projects (such as assigned to a Competence Review as happened in Oct 2019).</p>
20/01/2020	Clinical Supervision (MSOMPHCS)	<p><b>Department: Clinical Supervision (MSOMPHCS)</b></p> <p><b>What are you disclosing?</b> Alternative Employment</p> <p><b>Description of Employment:</b> Audit - Trigger Tools</p> <p><b>Name of Employer:</b> Clinical Pharmacology</p> <p><b>FTE   Hours Per Week:</b> up to 5 hours</p> <p><b>How do you propose to manage the conflict?</b> shouldn't affect my role in the Pharmacy dept</p>

12/01/2020	NACA (New Aseptic and Cytotoxic Area) Pharmacy Dept	<p><b>Department: NACA (New Aseptic and Cytotoxic Area) Pharmacy Dept</b></p> <p><b>What are you disclosing?</b> Alternative Employment</p> <p><b>Description of Employment:</b> Cancer Care pharmacist advisor</p> <p><b>Name of Employer:</b> Cancer Care Centre</p> <p><b>FTE   Hours Per Week:</b> 0.1</p> <p><b>How do you propose to manage the conflict?</b> To keep Canterbury DHB pharmacy management informed of my participation. At this stage it is reviewing the ordering templates through Mosaic and aligning stability information. I have started work from scratch but will ensure I acknowledge Canterbury DHB in references if a situation arises that requires it. Currently I do not have patient contact at <sup>9(2)(a)</sup> however if I did I would not be using my position to influence patient's choices of public vs. private cancer care. Use my common sense at all times. This position is great to further my experience and learn skills I can apply at Canterbury DHB also. This is helpful to align protocols within the two services and develop relationships within the wider oncology network. 0.1 FTE is only an estimate as it is not regular work at Canterbury DHB. I will be declaring this as secondary income with my tax obligations.</p>
12/04/2020	Pharmacy (MSOMPH)	<p><b>Department: Pharmacy (MSOMPH)</b></p> <p><b>What are you disclosing?</b> Alternative Employment</p> <p><b>Description of Employment:</b> Notes on Injectable Drugs sub editor</p> <p><b>Name of Employer:</b> NZHPA (NZ Hospital Pharmacists' Association.)</p> <p><b>FTE   Hours Per Week:</b> Part time (up to four hours per week)</p> <p><b>How do you propose to manage the conflict?</b> Look after my wellbeing and monitor if the extra work is too much, take annual leave etc.</p>



06/01/2020	Clinical Supervision (MSOMPHCS)	<p><b>Department: Clinical Supervision (MSOMPHCS)</b></p> <p><b>What are you disclosing?</b> Alternative Employment</p> <p><b>Description of Employment:</b> Pharmacist - dispensing trial medicines</p> <p><b>Name of Employer:</b> CCST (Christchurch Clinical Studies Trust)</p> <p><b>FTE   Hours Per Week:</b> Very casual 1-2 hour per month if that</p> <p><b>How do you propose to manage the conflict?</b> No conflict - dispensing times outside of Canterbury DHB hours. Trial medicines are not publicly available for patients.</p>
06/01/2020	Clinical Supervision (MSOMPHCS)	<p><b>Department: Clinical Supervision (MSOMPHCS)</b></p> <p><b>What are you disclosing?</b> Conflict of Interest</p> <p><b>Description of Interest:</b> Member of the National Extemporaneously Compounded Medicines group looking at creating national standardised formulations of compounded medicines</p> <p><b>Financial Interest:</b> No</p> <p><b>How do you propose to manage the conflict?</b> Clear communication regarding activities. Discussion with Professional Lead regarding information sharing around compounding formulas</p>
06/01/2020	Clinical Supervision (MSOMPHCS)	<p><b>Department: Clinical Supervision (MSOMPHCS)</b></p> <p><b>What are you disclosing?</b> Conflict of Interest</p> <p><b>Description of Interest:</b> (NZPHA) New Zealand Hospital Pharmacists Association Exec Member -responsibilities include Secretary and Notes on Injectable drugs Steering Group rep</p> <p><b>Financial Interest:</b> Yes</p> <p><b>How do you propose to manage the conflict?</b> NZHPA pays expenses incurred by Exec members attending conferences and face to face meetings.</p>
19/02/2020	Clinical Supervision (MSOMPHCS)	<p><b>Department: Clinical Supervision (MSOMPHCS)</b></p> <p><b>What are you disclosing?</b> Conflict of Interest</p> <p><b>Description of Interest:</b> I am attending a Severe Asthma Forum in Auckland on Friday 21st February. This forum is run by the pharmaceutical company GSK and they have arranged and paid for my travel to and from the venue.</p> <p><b>Financial Interest:</b> Yes</p> <p><b>How do you propose to manage the conflict?</b> Attendance is for educational purposes only. No confidential information will be shared. I do not have any personal or professional interests that could influence my judgement.</p>

06/01/2020	Pharmacy (MSOMPH)	<p><b>Department: Pharmacy (MSOMPH)</b></p> <p><b>What are you disclosing?:</b> Alternative Employment</p> <p><b>Description of Employment:</b> Regional ePharmacy Programme Specialist with South Island Alliance Programme Office</p> <p><b>Name of Employer:</b> South Island Alliance Programme Office  <b>FTE   Hours Per Week:</b> 0.2</p> <p><b>How do you propose to manage the conflict?</b> I am working as a consultant to oversee and guide the regional implementation of ePharmacy for NMDHB and WCDHB but also working for the CDHB pharmacy - CDHB is hosting the software. I am working hard to ensure that the interest of ALL the DHBs are met in key decisions made with regards to the software. I have formed a clinical governance group to discuss clinical issues, my work is overseen by the portfolio manager <sup>9(2)(a)</sup> [REDACTED] and the governance group. My future work will be guided by an operational governance framework.</p>
07/01/2020	Clinical Supervision (MSOMPHCS)	<p><b>Department: Clinical Supervision (MSOMPHCS)</b></p> <p><b>What are you disclosing?</b> Conflict of Interest</p> <p><b>Description of Interest:</b> I am the education and training special interest network co-ordinator for the NZ Hospital Pharmacy association (NZHPA)</p> <p><b>Financial Interest:</b> No</p> <p><b>How do you propose to manage the conflict?</b> I have let my manager and professional lead know that I am involved in the NZHPA work and will excuse myself from any Canterbury DHB or NZHPA work that may have an influence on the other.</p>
06/01/2020	Clinical Supervision (MSOMPHCS)	<p><b>Department: Clinical Supervision (MSOMPHCS)</b></p> <p><b>What are you disclosing?</b> Conflict of Interest</p> <p><b>Description of Interest:</b> Ad hoc. I am involved in the case review, standard setting and piloting of the cases used for the Intern Assessment Centre. This is primarily under the auspices of the Pharmacy council and Pharmaceutical society</p> <p><b>Financial Interest:</b> Yes</p> <p><b>How do you propose to manage the conflict?</b> I only participate if, and when, it suits my clinical team and I neither share the content of the cases with my colleagues, nor am I involved in assessment of the Intern Pharmacists who work for the Canterbury DHB.</p>

**Canterbury DHB Executive Management and General Managers  
Interest Register**

<b>Member</b>	<b>Disclosure of Interest</b>	<b>Pecuniary [Y/N]</b>	<b>Type of Conflict [Actual / Perceived / Potential]</b>
Peter Bramley Chief Executive	<ul style="list-style-type: none"> <li>Member – NZ Chapter, Health Roundtable</li> <li>Director – South Island Shared Service Agency Limited</li> <li>DHB Observer – Pharmac Board</li> </ul>	N N N	Potential Potential Potential
Becky Hickmott Acting Executive Director of Nursing	<ul style="list-style-type: none"> <li>Member - College of Nurses Aotearoa NZ Inc</li> </ul>	N	Potential
David Green Acting Executive Director Finance & Corporate Services	<ul style="list-style-type: none"> <li>Member – Chartered Accountants Australia and NZ</li> </ul>	N	Potential
Savita Devi Acting Chief Digital Officer	<ul style="list-style-type: none"> <li>Member – The Institute of Directors New Zealand</li> </ul>	N	Potential
Dr Richard French Acting Chief Medical Officer	<ul style="list-style-type: none"> <li>Member – Association of Salaried Medical Specialists</li> </ul>	N	Potential
Ralph La Salle Acting Executive Director Planning, Funding & Decision Support	Nil	N/A	N/A
Mary Johnston Chief People Officer	<ul style="list-style-type: none"> <li>Member – Human Resources Institute of NZ</li> </ul>	N	Potential
Dr Rob Ojala	<ul style="list-style-type: none"> <li>Member – Association of Salaried Medical Specialists</li> </ul>	N	Potential

Executive Director Facilities			
Hector Matthews Executive Director Maori & Pacific Health	<ul style="list-style-type: none"> <li>Member, Lawyers and Conveyancers Disciplinary Tribunal</li> <li>Member of Mātauranga Mahaanui (Ministry of Education, Canterbury, Māori Advisory Group)</li> </ul>	N N	Potential Potential
Karalyn van Deursen Executive Director Communications	<ul style="list-style-type: none"> <li>Member – Public Relations Institute of NZ (PRINZ)</li> <li>Member – International Association of Business Communicators (IABC)</li> <li>Trustee – Bellyful New Zealand</li> </ul>	N N N	Potential Potential Potential
Jacqui Lunday-Johnstone Executive Director Allied Health	Nil	N/A	N/A
Evon Currie GM Community & Public Health	Nil	N/A	N/A
Helen Skinner GM Older Persons Health, and Rehabilitation	Nil	N/A	N/A
Pauline Clark GM Christchurch Campus	Nil	N/A	N/A
Kirsten Beynon GM Canterbury Health Laboratories and West Coast DHB Laboratory	<ul style="list-style-type: none"> <li>Member – NZ Institute of Medical Laboratory Science (NZIMLS) Professional Body</li> <li>Member of the National Laboratory and Pathology Round Table, Ministry of Health</li> </ul>	N N	Potential Potential
Greg Hamilton GM Specialist Mental Health Services	<ul style="list-style-type: none"> <li>Member – Public Health Association (NZ)</li> </ul>	N	Potential